

Sheet 1 of 6		#1					
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: September 29, 2000		ATTY DOCKET NO. 2000_1328	SERIAL NO. NEW				
APPLICANT Mitsuaki OSHIMA et al.		FILING DATE September 29, 2000					
GROUP							
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,311,550	5-10-94	Fouche et al.			
	AB	4,630,287	12-16-86	Armstrong			
	AC	4,271,527	6-2-81	Armstrong			
	AD	5,050,188	9-17-91	Dirr			
	AE	5,398,073	3-14-95	Wei			
	AF	5,452,015	9-19-95	Hulyalkar			
	AG	5,565,932	10-15-96	Gitta et al.			
	AH	5,481,412	1-2-96	Bannai et al.			
	AI	3,909,721	9-30-75	Bussgang et al.			
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	0365431	4-25-90	EP			
	AK	0485105	5-13-92	EP			X
	AL	92/14343	8-20-92	WO			X
	AM	89/08366	9-8-89	WO			X
	AN	0031193	7-1-81	EP			X
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO	Shinji Matsumoto et al., "200 Mb/s 16 QAM Digital Radio-Relay System Operating in 4 and 5 GHz Bands", Japan Telecommunications Review, January 1982, vol. 24, no. 1, pages 65-73.					
	AP	M. Pecot et al., "Compatible Coding of Television Images, Part 2. Compatible System", Signal Processing Image Communication, October 2, 1990, no. 3, pages 259-268.					
AS	AQ	Mitsuaki Oshima, "Constellation-Code Division Multiplex for Digital HDTV", IEEE, 1992, pages 1086-1092.					
EXAMINER				DATE CONSIDERED			
Amandah				8.20.02			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 29, 2000

ATTY DOCKET NO.
2000_1328SERIAL NO.
NEWAPPLICANT
Mitsuaki OSHIMA et al.FILING DATE
September 29, 2000

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	4,227,152	10-7-80	Godard et al.	I	I	
	AB	4,525,846	6-25-85	Bremer et al.	I	I	
	AC	4,751,478	6-14-88	Yoshida	I	I	
	AD	4,891,806	1-2-90	Farias et al.	I	I	
	AE	4,903,125	2-20-90	Parker	I	I	
	AF	4,937,844	6-26-90	Kao	I	I	
	AG	5,164,963	11-17-92	Lawrence et al.	I	I	
	AH	5,555,275	9-1996	Oshima	I	I	
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ	0311188	4-12-89	EP	I	I	X	
	AK	0329158	8-23-89	EP	I	I	X	
	AL	64-5135	1-10-89	JP	I	I	X	
	AM	63-28145	2-5-88	JP	I	I	X	
	AN	62-133842	6-17-87	JP	I	I	X	

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Tricia Hill et al., "A Performance Study of NLA 64-State QAM", IEEE Transactions on Communications, vol. COM-31, June 1983, no. 6, pages 821-826.					
	AP	Hideki Ishio et al., "A Proposal of a Carrier Digital Transmission System Using Multi-Level APSK", pages 1-20.					
AS	AQ	Shanmugam, "Digital and Analog Communication Systems" 1979, p. 272.					

EXAMINER

Amardate

DATE CONSIDERED

8.21.02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 3 of 6							
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: September 29, 2000				ATTY DOCKET NO. 2000_1328		SERIAL NO. NEW	
				APPLICANT Mitsuaki OSHIMA et al.			
				FILING DATE September 29, 2000		GROUP	
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,105,442	4-14-92	Wei	I	I	
	AB	4,048,572	9-13-77	Dogliotti et al.			
	AC	5,282,019	1-25-94	Basile et al.			
	AD	5,311,547	5-10-94	Wei			
	AE	5,267,021	11-30-93	Ramchandran et al.			
	AF	5,038,219	8-6-91	Yamashita et al.			
	AG	5,087,975	2-11-92	Citta et al.			
	AH	5,086,340	2-4-92	Citta et al.	I	I	
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	53-137657	12-1-78	JP	I	I	X
	AK	0282298	9-14-88	Europe			X
	AL	0392538	10-17-90	Europe			X
	AM	0490552	6-17-92	Europe			X
	AN	0506400A2	9-30-92	Europe	I	I	
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)							
	AO	Khaled Fazel et al., Combined multilevel coding and multiresolution modulation, February 8, 1993, Pages 1081-1085					
	AP	P. Hoeher et al., Performance of an RCPC-Coded OFDM-based Digital Audio Broadcasting (DAB) System, IEEE Global Telecommunications Conference "Globecom '91", December 2-5, 1991, Vol. 1 of 3, Pages 2.1.1 - 2.1.7					
AS	AQ	K. M. Uz et al., Combined multiresolution source coding and modulation for digital broadcast of HDTV*, 1992, Pages 283-292					
EXAMINER				DATE CONSIDERED			
Amardate				08.24.02			

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 29, 2000

ATTY DOCKET NO.
2000_1328SERIAL NO.
NEWAPPLICANT
Mitsuaki OSHIMA et al.FILING DATE
September 29, 2000

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	5,142,353	8-25-92	Kageyama et al.	I	I	
	AB	5,197,061	3-23-93	Halbert-Lassalle et al.	I	I	
	AC	5,291,289	3-1-94	Hulyalkar et al.	I	I	
AS	AD	5,166,924	11-24-92	Moose	I	I	
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
AS	AJ	0448492A1	9-25-91	Europe	I	I		
	AK	0485108A2	5-13-92	Europe	I	I		
	AL	0525641A2	2-3-93	Europe	I	I		
	AM	0540231A2	5-5-93	Europe	I	I		
	AN	0531046A2	3-10-93	Europe	I	I		

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	K. M. Uz et al., Multiresolution Source and Channel Coding for Digital Broadcast of HDTV, 1992, Pages 61-69.						
	AP	Nambi Seshadri et al., Multi-Level Block Coded Modulations with Unequal Error Protection for the Rayleigh Fading Channel, Vol. 4, No. 3, May-June 1993, Pages 325-334.						
AS	AQ	William F. Schreiber, Spread-Spectrum Television Broadcasting, SMPTE Journal, August 1992, Pages 538-549.						

EXAMINER

Amandate

DATE CONSIDERED

08.21.02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 29, 2000

ATTY DOCKET NO.
2000_1328SERIAL NO.
NEWAPPLICANT
Mitsuaki OSHIMA et al.FILING DATE
September 29, 2000

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
AS	AJ	85/04541	10-10-85	WO	—	—		
	AK							
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AS	AO	Martin Vetterli et al., Multiresolution Coding Techniques for Digital Television: A Review, Multidimensional Systems and Signal Processing, Volume 3, May 1992, Pages 161-187.
↓	AP	Ezio Biglieri et al., Introduction to Trellis-Coded Modulation with Applications, April 6, 1992, Pages 173-207.
AS	AQ	English Language Abstract of European Patent No. 93 30 7575

EXAMINER

Amande

DATE CONSIDERED

08.21.02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: September 29, 2000

ATTY DOCKET NO.
2000_1328SERIAL NO.
NEWAPPLICANT
Mitsuaki OSHIMA et al.FILING DATE
September 29, 2000

GROUP 2634

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AS	AA	4,636,876	1-13-87	Schwartz	I	I	
	AB	4,855,692	8-8-89	Kennard et al.			
	AC	4,873,701	10-10-89	Tretter			
	AD	4,958,360	9-18-90	Sari			
	AE	5,115,453	5-19-92	Calderbank et al.			
AS	AF	5,168,509	12-1-92	Nakamura et al.	I	I	
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
AS	AJ	0122805	10-24-84	EP	-	-	X
AS	AK	63-180280	7-25-88	JP	-	-	abstract
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

AS	AO	Kazuhiko Nitadori, Synthesis of Multichannel Orthogonal VSB Signals by Quadrature Method, 1976 International Conference on Communications, June 14-16, 1976, Volume 1, Pages 3-25 - 3-29.
	AP	Thomas M. Cover, Broadcast Channels, IEEE Transactions on Information Theory, January 1972, Pages 2-14
AS	AQ	"Optimum Weighted PCM for Speech Signals", Sundberg, IEEE Transactions on Communications, Volume COM-26, No. 6, June 1978, Pages 872-881.

EXAMINER

Amande

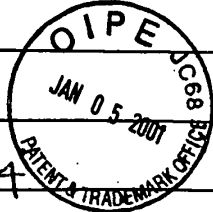
DATE CONSIDERED

8.21.02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

#5

Sheet 1 of 1

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: January 5, 2001		ATTY DOCKET NO. 2000_1328	SERIAL NO. 09/672,946	<div style="text-align: center;">  </div>	
		APPLICANT Mitsuki OSHIMA et al.		FILING DATE September 29, 2000	
				GROUP 263A	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
AS	AA	5,243,629	9-7-93	Wei	—	—	
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS								
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO			
AS	AJ	64-74836	3-20-89	Japan	—	—	abstract	
	AK	53-108215	9-20-78	Japan	—	—	abstract	
	AL	63-28145	2-5-88	Japan	—	—	abstract	
	AM	64-68144	3-14-89	Japan	—	—	abstract	
AS	AN	5-218978	8-27-93	Japan	—	—		X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)	
AO	
AP	
AQ	

EXAMINER	DATE CONSIDERED
Amandate	08.20.02

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1		FORM PTO 1449 (modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: April 11, 2001		ATTY DOCKET NO. 2000_1328 APPLICANT Mitsuaki OSHIMA et al. FILING DATE September 29, 2000		SERIAL NO. 09/672,946 GROUP	
U.S. PATENT DOCUMENTS									
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
AS	AA	5,565,926	10-15-96	Bryan et al.	—	—			
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
FOREIGN PATENT DOCUMENTS									
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
AS	AJ	2-166979	6-27-90	Japan	—	—	abstract		
	AK								
	AL								
	AM								
	AN								
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)									
	AO								
	AP								
	AQ								
EXAMINER					DATE CONSIDERED				
Amandase					8.21.02				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Sheet 1 of 1		#7	
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: September 28, 2001		ATTY DOCKET NO. 2000_1328	SERIAL NO. 09/672,946
APPLICANT Mitsuaki OSHIMA et al.		FILING DATE September 29, 2000	
GROUP 2614			
U.S. PATENT DOCUMENTS			
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE
NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA			
AB			
AC			
AD			
AE			
AF			
AG			
AH			
AI			
FOREIGN PATENT DOCUMENTS			
		DOCUMENT NUMBER	DATE
	COUNTRY	CLASS	SUBCLASS
TRANSLATION YES NO			
AJ	Japan	—	—
AK	Europe	—	—
AL			
AM			
AN			
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)			
AO			
AP			
AQ			
EXAMINER	DATE CONSIDERED		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.